

RECEIVED  
CENTRAL FAX CENTER

OCT 02 2008

**Nixon & Vanderhye PC.**  
ATTORNEYS AT LAW11TH FLOOR  
901 NORTH GLEBE ROAD  
ARLINGTON, VIRGINIA 22203-1808TELEPHONE: (703) 816-4000  
FACSIMILE: (703) 816-4100  
WRITER'S DIRECT DIAL NUMBER:  
**(703) 816-4025****FACSIMILE COVER SHEET**  
**PLEASE DELIVER IMMEDIATELY!!!!**

Atty Dkt.: 4010-30  
Your Ref.: Serial No. 10/616,226 Date: October 2, 2008

To: Examiner Vyas, Abhisek- TC/A.U. 3609  
Firm: USPTO  
Facsimile No.: (571) 273-8300  
From: John R. Lastova

Number of Pages (including cover sheet): 16  
(IF YOU DO NOT RECEIVE ALL OF THE PAGES OR ENCOUNTER DIFFICULTIES IN TRANSMISSION,  
PLEASE CONTACT US IMMEDIATELY AT (703-816-4000).

Mary A. Allgood  
FACSIMILE OPERATOR

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper and any noted attachments are being transmitted by facsimile to the Patent and Trademark Office on October 1, 2008.

/John R. Lastova/  
John R. Lastova

ATTACHMENT/S: **RESPONSE TO NOTICE OF NON-COMPLIANT  
AMENDMENT;  
AMENDMENT (To Notice mailed September 5, 2008)**

**MESSAGE:**

In re Patent Application of:

LUNDBERG et al.  
Serial No. 10/616,224  
Filed: July 10, 2003  
For: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

**CONFIDENTIALITY NOTE**

The documents accompanying this facsimile transmission contain information belonging to Nixon & Vanderhye, which is confidential and/or legally privileged. This information is only intended for the use of the individual or entity named above. **IF YOU ARE NOT THE NAMED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISCLOSURE, COPYING, DISTRIBUTION OR TAKING OF THIS INFORMATION FOR ANY USE WHATSOEVER IS STRICTLY PROHIBITED.** If you have received this facsimile in error, please immediately contact us by telephone to arrange for return of the original documents to us.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Atty JRL-4010-30

Dkt.

C# M#

LUNDBERG et al.

TC/A.U. 3609

Serial No. 10/616,224

Examiner: Vyas, Abhisek

Filed: July 10, 2003

Date: October 2, 2008

Title: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

RECEIVED  
CENTRAL FAX CENTER  
OCT 02 2008

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**RESPONSE AMENDMENT**

This is a response/amendment/letter in the above-identified application and includes an attachment which is hereby incorporated by reference and the signature below serves as the signature to the attachment in the absence of any other signature thereon.

☐ **Correspondence Address Indication Form Attached.**

**Fees are attached as calculated below:**

Total effective claims after amendment 13 minus highest number  
previously paid for 20 (at least 20) = 0 x \$50.00 \$0.00 (1202)/\$0.00 (2202) \$

Independent claims after amendment 5 minus highest number  
previously paid for 5 (at least 3) = 0 x \$210.00 \$0.00 (1201)/\$0.00 (2201) \$

If proper multiple dependent claims now added for first time, (ignore improper); add  
\$370.00 (1203)/\$185.00 (2203) \$

Petition is hereby made to extend the current due date so as to cover the filing date of this  
paper and attachment(s) One Month Extension \$120.00 (1251)/\$60.00 (2251)  
One-month fee previously paid on April 24, 2008. Two Month Extensions \$460.00 (1252)/\$230.00 (2252)  
Three Month Extensions \$1050.00 (1253)/\$525.00 (2253)  
Four Month Extensions \$1640.00 (1254)/\$820.00 (2254)  
Five Month Extensions \$2,230.00 (1255)/\$1115.00 (2255) \$

Terminal disclaimer enclosed, add \$130.00 (1814)/ \$65.00 (2814) \$

☐ Applicant claims "small entity" status. ☐ Statement filed herewith

Rule 56 Information Disclosure Statement Filing Fee \$180.00 (1806) \$ 0.00

Assignment Recording Fee \$40.00 (8021) \$ 0.00

Other: \$ 0.00

**TOTAL FEE \$ 0.00**

☒ **CREDIT CARD PAYMENT FORM ATTACHED.**

The Commissioner is hereby authorized to charge any deficiency, or credit any overpayment, in the fee(s) filed, or asserted to be filed, or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Account No. 14-1140. A duplicate copy of this sheet is attached.

901 North Glebe Road, 11th Floor  
Arlington, Virginia 22203-1808  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100  
JRL:maa

NIXON & VANDERHYE P.C.  
By Atty: John R. Lastova, Reg. No. 33,149

Signature: 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****RECEIVED  
CENTRAL FAX CENTER****OCT 02 2008**

In re Patent Application of

LUNDBERG et al.

Atty. Ref.: 4010-30

Serial No. 10/616,224

TC/A.U.: 3609

Filed: July 10, 2003

Examiner: Vyas, Abhisek

For: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

\* \* \* \* \*

October 2, 2008

Mail Stop Non-Fee Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Sir:

**RESPONSE TO NOTICE OF NON-COMPLIANT AMENDMENT**

This is in reply to the above-captioned Notice dated September 5, 2008 indicating the Amendment filed April 24, 2008 is non-compliant in that the claims in the amendment have not been provided with the proper status identifier. More specifically, claim 2 was not currently amended, but has the incorrect status identifier. The claim identifier has now been corrected with the correct claim status of previously presented.

This response is timely filed. Please proceed to process the Amendment filed April 24, 2008 and with the examination of this application.

LUNDBERG et al.  
Serial No. 10/616,224

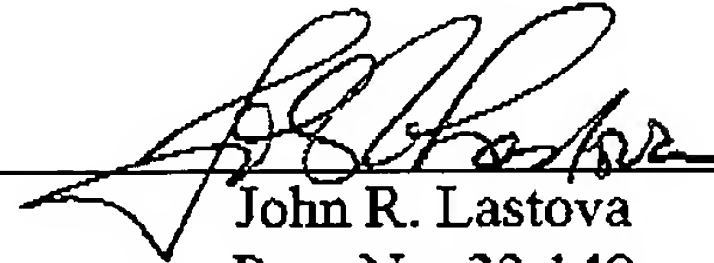
NIXON VANDERHYE PC Fax 703+816+4100

Oct 2 2008 03:39pm P004/016

Respectfully submitted,

**NIXON & VANDERHYE P.C.**

By: \_\_\_\_\_



John R. Lastova  
Reg. No. 33,149

JRL:maa  
901 North Glebe Road, 11th Floor  
Arlington, VA 22203-1808  
Telephone: (703) 816-4000  
Facsimile: (703) 816-4100

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****RECEIVED  
CENTRAL FAX CENTER****OCT 02 2008**

In re Patent Application of

LUNDBERG et al.

Atty. Ref.: 4010-30; Confirmation No. 2247

Appl. No. 10/616,224

TC/A.U. 3609

Filed: July 10, 2003

Examiner: Vyas, Abhisek

For: A METHOD AND A SYSTEM FOR TRADING STRIPPED BONDS

\* \* \* \* \*

October 2, 2008

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**AMENDMENT**

Responsive to the Official Action dated December 31, 2007 (for which petition is hereby made for a one month extension of time), please amend the above-identified application as follows: